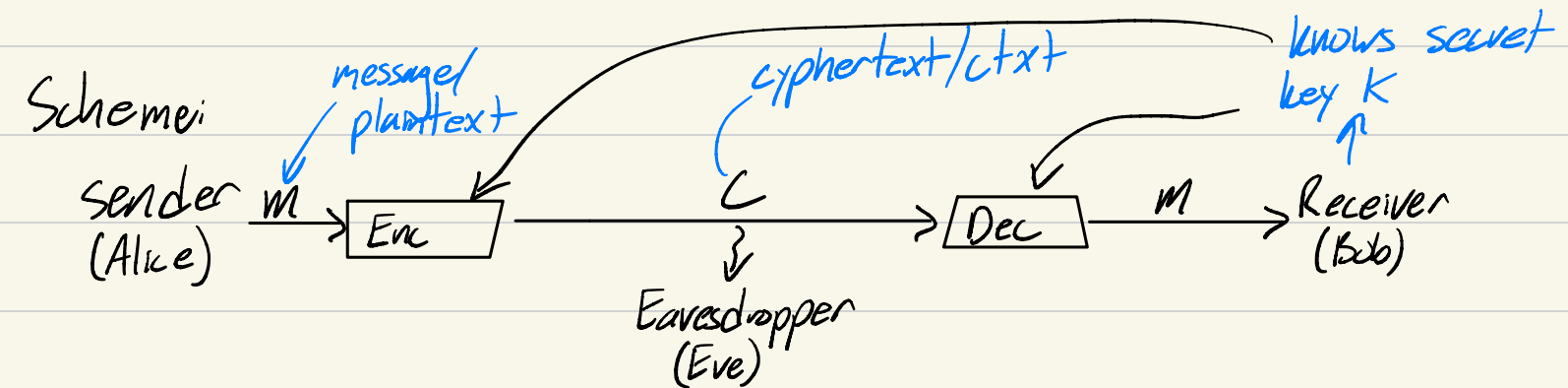


1A-1/6

Kerckhoffs principle: encryption scheme shouldn't be assumed to be secret

- Shannon turned crypt from art to science

↳ also started to be important to the public in the 70s



- in public key cryptography, Alice uses a public key that Eve can see, yet Alice can still communicate w/ Bob securely

Shift Cipher

M : any length string of alphabetic chars

↳ $M \in \{A, \dots, Z\}^*$

K : shift, $\in \{0, \dots, 25\}$

$C = \text{Enc}(K, M)$: shift each char in M by K places

Problem: only 26 keys! can brute force

↳ **Lesson 1: need lots of keys**

- why Kerckhoffs?

↳ need fewer schemes, open source benefits, etc.

↳ so we assume everything abt the scheme is public except the key

New Approach: Vigenère Cipher

$$K = (k_1, \dots, k_{10}), \quad k_i \in [25]$$

$$M = (m_1, m_2, \dots, m_{10}, m_{11}, \dots)$$

k_1 shifts k_2 ... k_{10} k_1 shifts

$$C = (c_1, c_2, \dots)$$

- How did we break it?

↳ well $c_1, c_{11}, c_{21}, \dots$ all had the same key

↳ so we can perform frequency analysis on the ctxt; e.g. most common letter will be "e", etc. Can cycle thru lengths of K

One-Time Pad (OTP)

$$M \in \{0, 1\}^n$$

(1.2.1) Construction

For $n \geq 1, M, K \in \{0, 1\}^n$, $\text{Enc}(K, M) = K \oplus M$ → choose K uniformly $\sim \{0, 1\}^n$

For $C, K \in \{0, 1\}^n$, $\text{Dec}(K, M) = K \oplus M$

Claim: $\forall n \geq 1, M, K \in \{0, 1\}^n$, $\text{Dec}(\text{Enc}(K, M)) = M$

Pf: $\text{Dec}(\text{Enc}(K, M)) = K \oplus (K \oplus M)$

$$= (K \oplus K) \oplus M = 0 \oplus M = M$$

IB-1/8

OTP

Def. For $n \geq 1$, $m, k, c \in \{0, 1\}^n$: $(k \leftarrow_{\$} \{0, 1\}^n)$

| $Enc(k, m): \text{ret } c := k \oplus m$, $Dec(k, m): \text{ret } m := k \oplus c$

Notation. $X \leftarrow_{\$} S \equiv X \leftarrow S$: RV X sampled uniformly from S .

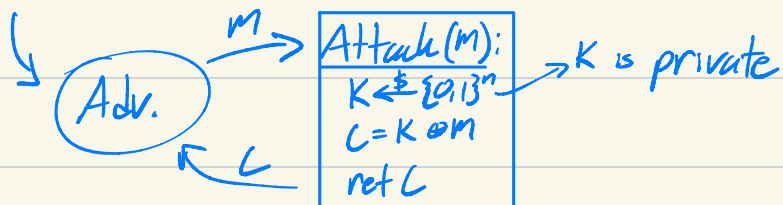
what they're supposed to do

- we want to make a security claim: if the victims do ①, and the attacker does ②, then <some security property>

capabilities \rightsquigarrow "attack scenario"

① $k \leftarrow_{\$} \{0, 1\}^n$

② we assume the adv. can see c & influence m !



- to prove security, we want c to look like a uniformly random string to the adversary.

Claim (1.4.1). $\forall n \geq 1$, $\forall m \in \{0, 1\}^n$, the output of $\text{Attack}(m)$ is uniform over $\{0, 1\}^n$.

Pf.

Fix $n \geq 1$, $M \in \{0,1\}^n$. WTS: for any $C \in \{0,1\}^n$, $P[\text{Attack}(M) = C] = \frac{1}{2^n}$

Fix $C \in \{0,1\}^n$.

$$\begin{aligned} P[\text{Attack}(M) = C] &= P[K \oplus M = C] \\ &= P[K \oplus M \oplus M = C \oplus M] \\ &= P[K = C \oplus M] = \frac{1}{2^n} \text{ b/c } K \text{ picked U @ rand,} \\ &\quad C \& M \text{ fixed} \end{aligned}$$

- the adv. thus can't tell the diff between $\text{Attack}(M)$ and a random $C \xleftarrow{\$} \{0,1\}^n$.

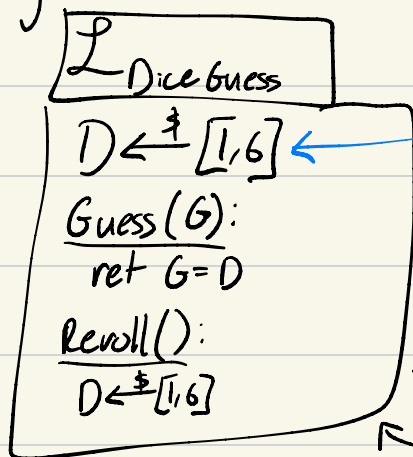
- but the OTP breaks if the same key is used multiple times

Machinery 2.1.1

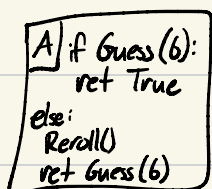
Def: Library. A collection of subroutines w/ an interface.

Def: Interface. All subroutines in a library w/ their input & output types.

E.g.



code outside fn.s ran first
variables are global to library, private to external



Def: Linking. $A \diamond L \Rightarrow \text{output } x$; alg. A makes subroutine calls on L , w/ output x .

\hookrightarrow e.g. above, $P[\bar{A} \diamond L \Rightarrow \text{True}] = 1 - \frac{5}{6} \cdot \frac{5}{6} = \frac{11}{36}$

- define L_{OTP} :

Attack(m): $K \leftarrow \{0,1\}^n$ $C = K \oplus m$ ret C

 L_{RAND} :

Attack(m): $C \leftarrow \{0,1\}^n$ ret C

Def: Interchangeable. L_1 and L_2 are interchangeable (denoted $L_1 \equiv L_2$) if \forall calling algs. A w/ bowl. output, $P[A \diamond L_1 \Rightarrow \text{True}] = P[A \diamond L_2 \Rightarrow \text{True}]$

Claim 1.4.1 (rewritten). $L_{\text{OTP}} \equiv L_{\text{RAND}}$

\hookrightarrow does this hold if we change bitwise XOR to bitwise AND?
 \hookrightarrow no. How? find A s.t. $P[A \diamond L_1 \Rightarrow T] \neq P[A \diamond L_2 \Rightarrow T]$, and remember that the adv. controls the message

A $M = 0^n$
$C = L_{\text{?}} \text{Attack}(m)$
if $C = 0^n$: ret True
else: ret False

$P[A \diamond L_1 \Rightarrow T] = 1$
 $P[A \diamond L_2 \Rightarrow T] = \frac{1}{2^n} \neq 1$

Samp(m):
 $x \leftarrow \{0,1\}^n$
 $y \leftarrow x \oplus m$
 $x' \leftarrow y \oplus m$
 ret (x', y)

→ same as OTP!

③ $L_3 =$

* This proof basically just says if $x \sim U(\{0,1\}^n)$, $y = x \oplus m$, then y is unif. over $\{0,1\}^n$.

④ $L_4 =$

Samp(m):
 $y = \text{Enc}(m)$
 $x' = y \oplus m$
 ret (x', y)

◇ LOTP

⑤ Replace LOTP w/ LOTP-Rand (by chain rule)

⑥ $L_6 =$

Samp(m):
 $y \leftarrow \{0,1\}^n$
 $x' = y \oplus m$
 ret (x', y)

⑦ $L_7 =$

Samp(m):
 $y \leftarrow \{0,1\}^n$
 $x = y \oplus m$
 ret (x, y)

□

Def: Three-hop Maneuver: $L_1 \equiv L_2 \diamond L_A \equiv L_2 \diamond L_B \equiv L_3$.

Defining Primitives

- must define syntax, correctness, security Schemes denoted Σ

Def: Symmetric/Secret Key Encryption.

- ① Syntax: keyspace \mathcal{K} , message space \mathcal{M} , ctxt space \mathcal{C} ,
 $\text{Enc}: \mathcal{K} \times \mathcal{M} \rightarrow \mathcal{C}$ (random), $\text{Dec}: \mathcal{K} \times \mathcal{C} \rightarrow \mathcal{M}$ (nonrandom)
- ② Correctness: $\forall k \in \mathcal{K}, m \in \mathcal{M}, P[\text{Dec}(k, \text{Enc}(k, m)) = m] = 1$

③ Def: One-Time Secrecy. SKE scheme Σ has "OTS" if the following libraries are interchangeable:

LOTS: $\text{Enc}(m):$
 $k \leftarrow \Sigma.\mathcal{K}$
 $c = \Sigma.\text{Enc}(k, m)$
 ret c

LOTS-Rand: $\text{Enc}(m):$
 $c \leftarrow \Sigma.\mathcal{C}$
 ret c

-SKE is much faster than PKE

+ Idea: $\Sigma_1, \Sigma_2 \rightarrow \Sigma^*$

$Enc^*(K_1, M):$

???

$K_2 \xleftarrow{\$} \Sigma_1 \cdot \mathcal{M}$

$\Sigma^*. Enc: \mathcal{K}^* \times \mathcal{M}^* \rightarrow \mathcal{C}^*$

$C_1 = Enc_1(K_1, K_2)$

$(K_1, M_2) \rightarrow (C_1, C_2) \in (\mathcal{C}_1 \times \mathcal{C}_2)$

$\downarrow \in \mathcal{K}_1 \quad \downarrow \in \mathcal{M}_2$

$C_2 = Enc_2(K_2, M)$

ret (C_1, C_2)

\hookrightarrow need $\Sigma_1 \cdot \mathcal{M} = \Sigma_2 \cdot \mathcal{K}$

- use, e.g., Σ_1 slow, Σ_2 fast, so use Σ_2 for large msg M

Thm. If Σ_1 & Σ_2 are OTS, then Σ^* is OTS.

ZB - 1/15

SKE Recap

+ syntax: $\mathcal{K}, \mathcal{M}, \mathcal{C}$

$\text{Enc}(K, M) \mapsto C$ (rand)

$\text{Dec}(K, C) \mapsto M$ (deterministic)

+ correctness: $\forall M \in \mathcal{M}, K \in \mathcal{K}, \mathbb{P}[\text{Dec}(K, \text{Enc}(K, M)) = M] = 1$

+ One-Time Security

- SKE Σ has OTS iff $\mathcal{L}_{\text{OTS-real}}^{\Sigma} \equiv \mathcal{L}_{\text{OTS-rand}}^{\Sigma}$

Hybrid Encryption

- start w/ SKE schemes Σ_1, Σ_2 .

$\hookrightarrow \text{Enc}_2: \mathcal{K}_2 \times \mathcal{M}_2 \rightarrow \mathcal{C}_2$

\hookrightarrow want to encrypt message here

\hookrightarrow use Σ_1 to encrypt Σ_2 's key

$\hookrightarrow \text{Enc}_2: \mathcal{K}_1 \times \mathcal{M}_1 (\cong \mathcal{K}_2) \rightarrow \mathcal{C}_1$; $\mathcal{K}_2 \subseteq \mathcal{M}_1$

- now we create $\Sigma^*: \mathcal{K}^* \equiv \mathcal{K}_1, \mathcal{M}^* = \mathcal{M}_2, \mathcal{C}^* = \mathcal{C}_1 \times \mathcal{C}_2$

$\text{Enc}(K^*, M^*):$

$K_2 \leftarrow \mathcal{K}_2$

$C_1 \leftarrow \text{Enc}_1(K^*, K_2)$

$C_2 \leftarrow \text{Enc}_2(K_2, M)$

ret (C_1, C_2)

Thm. If Σ_1 & Σ_2 have OTS, then Σ^* has OTS.

Pf.

$\mathcal{L}_{\text{OTS-real}}^{\Sigma^*}$

Enc^{*}(M):
 $K_1 \xleftarrow{\$} \mathcal{K}_1$
 $K_2 \xleftarrow{\$} \mathcal{K}_2$
 $C_1 = \text{Enc}_1(K_1, K_2)$
 $C_2 = \text{Enc}_2(K_2, M)$
 ret (C_1, C_2)

Transform to:

Enc^{*}(M):
 $K_2 \xleftarrow{\$} \mathcal{K}_2$
 $C_1 = \text{OTS.Enc}_1(K_2)$
 $C_2 = \Sigma_2.\text{Enc}_2(K_2, M)$
 ret (C_1, C_2)

$\mathcal{L}_{\text{OTS-real}}^{\Sigma_1}$
Enc₁(M):
 $K_1 \xleftarrow{\$} \mathcal{K}_1$
 $C = \text{Enc}(K_1, M)$
 ret C

-but we can swap $\mathcal{L}_{\text{OTS-real}}^{\Sigma_1}$ for $\mathcal{L}_{\text{OTS-ideal}}^{\Sigma_1}$ b/c it is OTS!

↳ fold this back in:

$\mathcal{L}_{\text{OTS-real}}^{\Sigma^*} \equiv$
Enc^{*}(M):
 $K_2 \xleftarrow{\$} \mathcal{K}_2$
 $C_1 \xleftarrow{\$} \mathcal{C}_1$
 $C_2 = \text{Enc}_2(K_2, M)$
 ret (C_1, C_2)

do the same for Enc₂

\equiv

Enc^{*}(M):
 $C_1 \xleftarrow{\$} \mathcal{C}_1$
 $C_2 \xleftarrow{\$} \mathcal{C}_2$
 ret (C_1, C_2)

$\equiv \mathcal{L}_{\text{OTS-ideal}}^{\Sigma^*} \quad \square$

New Schemes

+ problems w/ OTP?

↳ $|K| = |M|$

↳ one-time only

↳ malleability

↳ $\text{Dec}(K, C \oplus \Delta) = M \oplus \Delta \rightarrow \text{predictable change} = \text{bad}$

- any OTS scheme must have $|\mathcal{R}| \geq |\mathcal{M}|$

↳ long keys are inherent

- the one-time only part is also inherent

↳ e.g. Eve sees $(M_1, C_1), \dots, (M_{1000}, C_{1000})$, wants to dec C^*

↳ inefficient adv. can succeed w/ good pr.

↳ efficient has low but nonzero pr.

- so we must relax security

↳ any attack must have huge cost or tiny pr. success

↳ "computational security"

+ concrete approach: pick big/small #

↳ e.g., 2^{128} , 2^{-128}

↳ or take ratio of time & pr: $\frac{T}{p} \geq 2^{256}$

+ asymptotic approach (complexity theory)

Def. $f, g: \mathbb{N} \rightarrow \mathbb{R}$. $f(n)$ is $O(g(n))$ if $\exists c$ s.t. $f(n) \leq c \cdot g(n)$ excluding finite n .

- recall alg. A runs in $T_A(n)$ time (worst case) for inputs $|x|=n$.

Def. poly time: $T_A = O(p(n))$, p polynomial.

↳ so "huge" will mean not polynomial time

Def: negligible functions. a fn. $f: \mathbb{N} \rightarrow \mathbb{R}_+$ is negligible if \forall poly p , $p(n) = O(1/f(n))$

- properties:

$$\hookrightarrow \text{poly} +/\cdot \text{poly} = \text{poly}$$

$$\hookrightarrow \text{negl} + \text{negl} = \text{negl}$$

$$\hookrightarrow \text{poly} \cdot \text{negl} = \text{negl}$$

3A-1/20

Computational Security

- assume poly-time attack algos \rightarrow e.g. $\frac{1}{2^{n/2}}$
 \hookrightarrow negligible attack success ($\text{negl}(n) \rightarrow 0$ faster than $\frac{1}{\text{poly}(n)}$)

- what are these fn.s poly/negl. in?

\hookrightarrow the security parameter $\lambda \in \mathbb{Z} \geq 1$

\hookrightarrow often $\lambda = |K|$, or the length of the secret

\hookrightarrow we'll assume all algos just know λ

Def. L_1 and L_2 are computationally indistinguishable (denoted

$L_1 \approx L_2$) if \forall poly-time algos A ,

$$|P[A \diamond L_1 \Rightarrow \text{True}] - P[A \diamond L_2 \Rightarrow \text{True}]|$$

is negligible.

"distinguishing advantage" \rightarrow the λ is implicit!

Lemma. ① $L_1 \equiv L_2 \Rightarrow L_1 \approx L_2$

② $L_1 \approx L_2 \Rightarrow L_3 \diamond L_1 \approx L_3 \diamond L_2$

③ $L_1 \approx L_2 \approx L_3 \Rightarrow L_1 \approx L_3$

Pf (of 3).

Fix poly-time A . Let $p_i = P[A \diamond L_i \Rightarrow T]$.

$|p_1 - p_2|$ and $|p_2 - p_3|$ are negl.

By the triangle inequality, $|p_1 - p_3| \leq |p_1 - p_2| + |p_2 - p_3|$ is negligible.

Claim. For hybrid arguments $L_1 \cong \dots \cong L_{t(\lambda)}$, if $t(\lambda)$ is poly-time then $L_1 \cong L_{t(\lambda)}$.

Using Shorter Keys

- our short key K will be "stretched" by G

↳ $\text{Enc}(K, m)$:

$y = G(K)$
ret $y \oplus m$

↳ need $|y| > |K|$, G poly-time, possible decryption ($\Rightarrow G$ deterministic)

↳ and must "look random"

(PRG)

Def: Pseudorandom Generators. $G: \{0, 1\}^\lambda \rightarrow \{0, 1\}^{\lambda+l} \forall l \geq 1$,

poly-time computable & deterministic is a secure PRG if

$L_{\text{PRG-real}} \cong L_{\text{PRG-rand}}$.

$L_{\text{PRG-real}}$:

PRG.Sample(l):
 $s \leftarrow \{0, 1\}^\lambda$
ret $G(s)$

seed,
assumed
not known
by U

$L_{\text{PRG-rand}}$:

PRG.Sample(l):
 $y \leftarrow \{0, 1\}^{\lambda+l}$
ret y

- these are kinda dope

↳ say $\lambda = 100$. Then $\{0,1\}^{\lambda+100}$ is 2^{100} x larger than $2^{\lambda+100}$!

↳ $P[y \leftarrow \{0,1\}^{\lambda+100} \in G(s) \forall s] \leq 2^{-100}$!

↳ so most of the codomain is untouched by G !

↳ yet to efficient algos they look the same

E.g.

$G(s) = s1100000$

Say A just checks if $Z = \text{PRG.Sample}()$ ends in 00000

↳ $P[A \circ L_{\text{PRG-real}} \Rightarrow T] = 1$

↳ $P[A \circ L_{\text{PRG-ideal}} \Rightarrow T] = \frac{1}{2^5}$ $|1 - \frac{1}{2^5}|$ not neg!

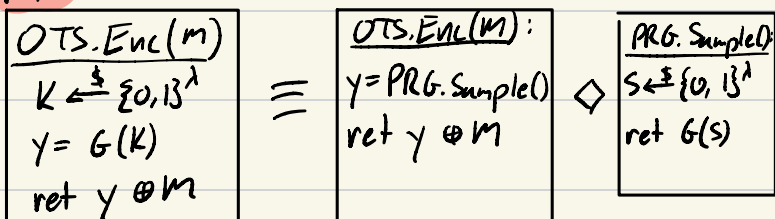
- the pseudo-OTP uses an extended $y = G(k)$ for $y \in M$.

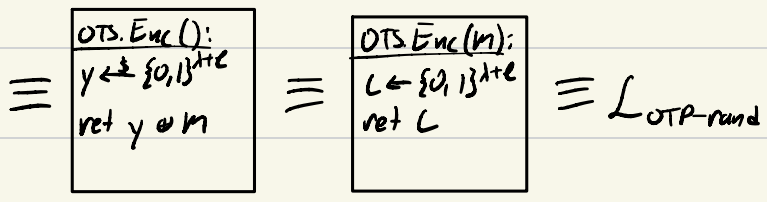
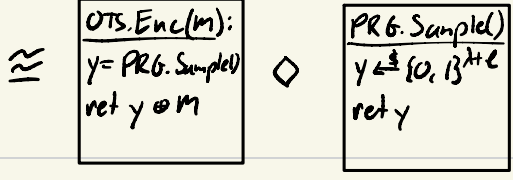
↳ how to prove security?

Def. SKE Σ has computational OTS if $L_{\text{OTS-real}}^{\Sigma} \approx L_{\text{OTS-ideal}}^{\Sigma}$.

Thm. If G is a secure PRG, then pseudo-OTP has COTS.

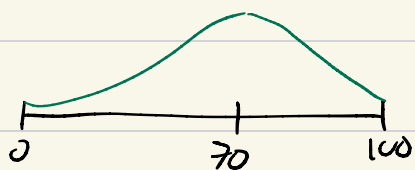
Pf.





3B - 1/22

Prof's exam distribution:



PRGs

- we previously showed that if G is a secure PRG, then pseudo-OTP has OTS.

- we'll later see that PR Functions give many-time security

↳ and that $\text{PRG} \Leftrightarrow \text{PRF}$

↳ crypto is all identifying important abstractions like PRGs that are the cruxes of the problems

- recall that $G(s) \cong y \leftarrow \{0,1\}^{\lambda+\ell}$ for $L_{\text{PRG-real}}^G$ to be a PRG

Claim. Suppose $G: \{0,1\}^\lambda \rightarrow \{0,1\}^{\lambda+\ell}$ is a secure PRG. Say $H(s) = G(\text{rev}(s))$. Then H is a secure PRG

Pf.

$\text{Samp}_H():$
 $s \leftarrow \{0,1\}^\lambda$
 $z = \text{rev}(s)$
 $y = G(z)$
ret y

\equiv

$\text{Samp}_H():$
 $z \leftarrow \{0,1\}^\lambda$
 $y = G(z)$
ret y

\equiv

$\text{Samp}_H():$
 $y = \text{Samp}_G()$
ret y

\diamond

$\text{Samp}_G():$
 $s \leftarrow \{0,1\}^\lambda$
ret $G(s)$

\cong

$\text{Samp}_G():$
 $y \leftarrow \{0,1\}^{\lambda+\ell}$
ret y

blc $L_{\text{real}}^G \cong L_{\text{rand}}^G$

- PRG existence implies $P = NP$

PRG E.g.s

+ Subset Sum Generator

↳ pick 512 random 1024-bit strings w_1, \dots, w_{512}

$$\text{↳ } G_{w_1, \dots, w_{512}}(s_1, \dots, s_{512}) = \sum_{i=1}^{512} s_i \cdot w_i \pmod{2^{1024}}$$

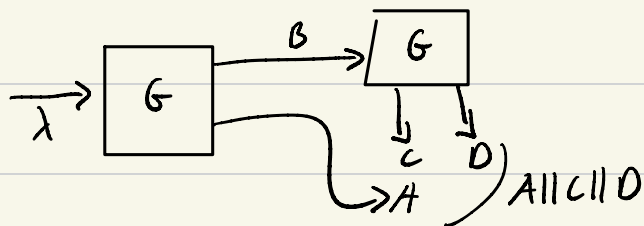
↳ we think this is a PRG, but proving it solves $P=NP$

- what if we want PRG output length flexibility?

E.g.

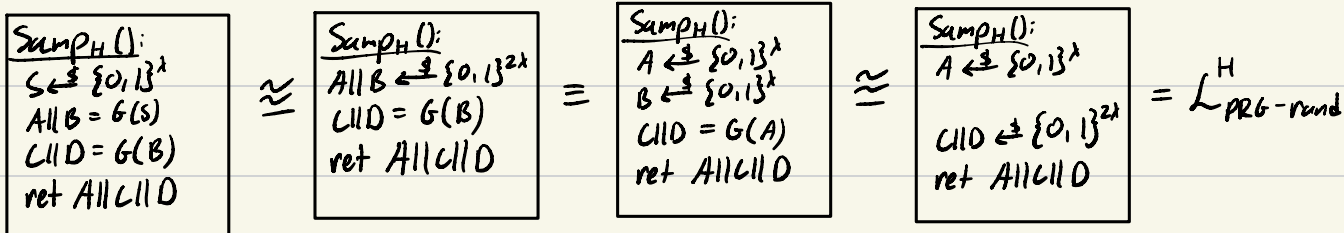
Suppose $G: \{0, 1\}^\lambda \rightarrow \{0, 1\}^{2\lambda}$ ("length doubling")

Let's construct $H: \{0, 1\}^\lambda \rightarrow \{0, 1\}^{3\lambda}$



H(s):
 $A || B = G(s)$
 $C || D = G(B)$
 ret $A || C || D$

Pf.



- if we instead had ret $A || B || C || D$, we could break it by checking if $G(B) = C || D$

-so far we've seen stuff like "say G is a secure PRG. Then..."

① H is always a PRG

② H is never a PRG

③ H is sometimes a PRG

↳ H is secure only for some G .

↳ e.g. $H(s) = G(0^{\lambda} || s)$ is sometimes

E.g.

Let G be a secure PRG. Define $F(k, x) = G(k) \oplus x$

\hookrightarrow basically pseudo-OTP

F is not a PRF.

```

A
Y = Query(0^n)
Y' = Query(Y)
if Y' = 0:
  ret T
ret F

```

$$P[A \circ L_{\text{prg-real}} \Rightarrow T] = P[G(k) \oplus G(k) = 0] = 1$$

$$P[A \circ L_{\text{prg-rand}} \Rightarrow T] = P[\text{Query}(0^n) = 0^n] + P[\text{Query}(Y) = 0^n] \leq 2 \cdot 2^{-n}$$

E.g.

$F(k, x) = G(x) \oplus k$ also doesn't work

e.g. if $\tilde{G} = \begin{cases} 0^n & \text{if } s = 0^n \\ G(s) & \text{o.w.} \end{cases}$, this is valid PRG but breaks F .

- PRG \Rightarrow PRF proof in book

- let's construct PRF

Claim. Let PRF $F: \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}^n$. Let $H(k_1, k_2, x): Y = F(k_1, x); \text{ret } F(k_2, Y)$. Then H is a PRF.

Pf.

introduce dict.
 \checkmark from $L_{\text{prf-rand}}$

```

L_{prf-real}
k_1 \leftarrow \{0, 1\}^n
k_2 \leftarrow \{0, 1\}^n
Query(x):
  Y = F(k_1, x)
  ret F(k_2, Y)

```

```

\equiv
k_1 \leftarrow \{0, 1\}^n
k_2 \leftarrow \{0, 1\}^n
Query(x):
  if L_H[x] null:
    Y = F(k_1, x)
    L[x] = F(k_2, Y)
  ret L[x]

```

```

\cong
k_2 \leftarrow \{0, 1\}^n
Query(x):
  if L_H[x] null:
    Y = Query_F(x)
    L[x] = F(k_2, Y)
  ret L[x]

```

$\diamond L_{\text{prf-real}}^F$
 $\diamond L_{\text{prf-real}}^F$

$K_2 \leftarrow \$$

Query_H(X):

if $L[X]$ null:

\cong $Y \leftarrow \{0, 1\}^A$

$L[X] = F(K_2, Y)$

ret $L[X]$

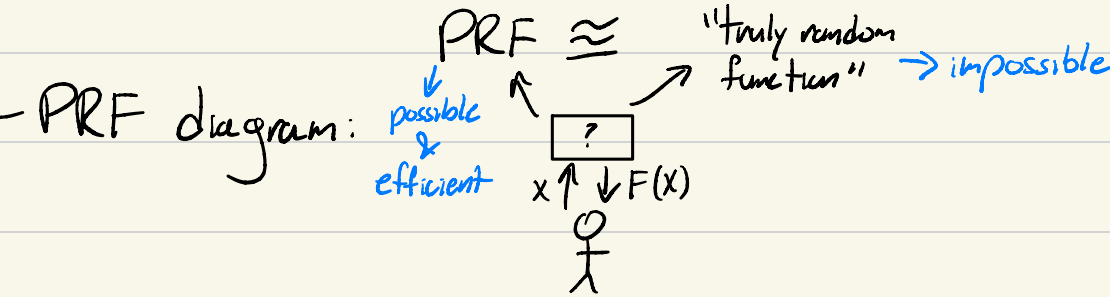
4B-1/29

Intuition

- our definitions basically follow $\text{real world} \approx \text{random world}$
↳ means any alg. can't tell the real & random apart

- eg. for COTS,
↳ real = encrypt a msg
↳ rand = random junk

- PRG:
↳ real: sample seed & stretch it
↳ rand: uniform @ random



```

Lprf-rand
Query(x):
if L[x] null:
L[x] ← {0,1}^λ
ret L[x]

```

≈

```

Lprf-real
K ← {0,1}^λ
Query(x):
ret F(K, x)

```

→ this is basically setting "which" function we choose

Tip: to break a constructed PRF that uses PRF F, try to cause repeated inputs to F.

New PRF

Claim. F is a PRF w/ λ-bit inputs/outputs. Define
If F is a secure PRF, then H is as well.

```

H(K1 || K2, X):
Y = F(K1, X)
ret F(K2, Y)

```

Pf.

$\mathcal{L}_{\text{prf-rand}}^H$

without replacement:

$$R \leftarrow \{0, 1\}^\lambda \setminus S$$

$$S = S \cup \{R\}$$

$K_1, K_2 \leftarrow \{0, 1\}^{2\lambda}$
 Query(X):
 $Y = F(K_1, X)$
 ret $F(K_2, Y)$

\cong

Query_H(X):
 if $L_1[X]$ null:
 $L_1[X] \leftarrow \{0, 1\}^\lambda$
 if $L_2[Y]$ null:
 $L_2[Y] \leftarrow \{0, 1\}^\lambda$
 return $L_2[Y]$

\cong
requires later proof

Query_H(X):
 if $L_1[X]$ null:
 $L_1[X] \leftarrow \{0, 1\}^\lambda$ w/o replacement
 if $L_2[Y]$ null:
 $L_2[Y] \leftarrow \{0, 1\}^\lambda$
 return $L_2[Y]$

all these Y's should be $L_1[X]$'s

\equiv

Query_H(X):
 if $L_1[X]$ null:
 $L_1[X] \leftarrow \{0, 1\}^\lambda$ w/o repl.
 $L_2[Y] \leftarrow \{0, 1\}^\lambda$
 return $L_2[Y]$

\equiv

Query_H(X):
 if $L_H[X]$ null:
~~if $L_1[X]$ null:~~
 ~~$L_1[X] \leftarrow \{0, 1\}^\lambda$ w/o repl.~~
 $L_2[Y] \leftarrow \{0, 1\}^\lambda$
 $L_H[X] = L_2[L_1[X]]$
 ret $L_H[X]$

doesn't matter now

bc each $L_1[X]$ is only sampled once, $L_2[Y] = L_2[L_1[X]]$ will only be null if $L_1[X]$ is

\equiv

Query_H(X):
 if $L_H[X]$ null:
 $L_H[X] \leftarrow \{0, 1\}^\lambda$
 ret $L_H[X]$

$\equiv \mathcal{L}_{\text{prf-rand}}^H$

Replacement

Sample q items X_1, \dots, X_q uniformly from a set of size N .

$P[\exists i \neq j \text{ s.t. } X_i = X_j] \leq \frac{q^2}{N}$. If q poly & N exp., $\frac{q^2}{N}$ negligible!

Lemma.

Sample(0):
 $R \leftarrow \{0, 1\}^\lambda$
 ret R

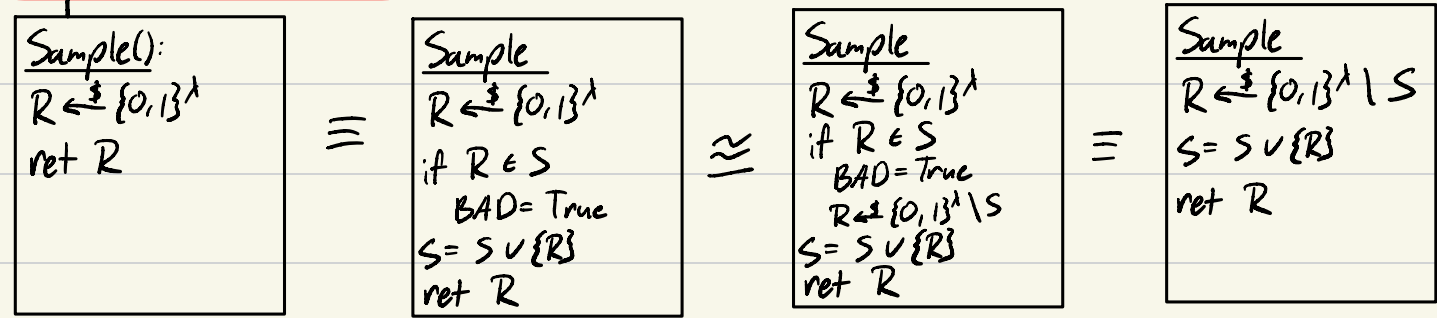
\cong

Sample(1):
 $R \leftarrow \{0, 1\}^\lambda \setminus S$
 $S = S \cup \{R\}$
 ret R

Lemma: bad event technique. If ① L_1, L_2 bool BAD, ② L_1, L_2 identical unless BAD true, and ③ once BAD is true it remains true, then $|P[A \circ L_1 \Rightarrow T] - P[A \circ L_2 \Rightarrow T]| \leq P[A \circ L_1 \text{ triggers BAD}]$

P_1 P_2

Replacement Proof.



By the birthday thing, $P[\text{BAD}]$ is negligible, so no efficient A exists. \square

Bad Event Proof.

$$P_1[T] = P_1[T|B] P_1[B] + \underbrace{P_1[T|\bar{B}]}_{\text{same in } L_1 \& L_2} P_1[\bar{B}]$$

$$\Rightarrow |P_1[T] - P_2[T]| = |P_1[T|B] P_1[B] - P_2[T|B] P_2[B]|$$

$$= P[B] \cdot \underbrace{|P_1[T|B] - P_2[T|B]|}_{\leq 1}$$

$$\leq P[B]$$

Midterm:

- break proposed PRG

- prove simple claim

- prove small part of big claim

- T/F which schemes are OTS/COTS/neither

- if we change this to that, where does the proof break?

5A - 2/3

Recap

- OTS:

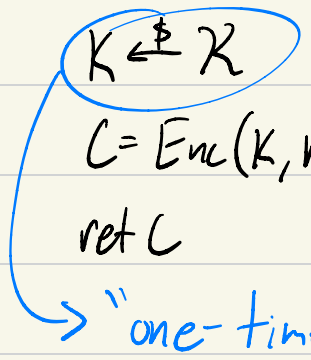
OTS.Enc(m):

$K \xleftarrow{\$} \mathcal{K}$
 $C = \text{Enc}(K, m)$
 ret C

OTS.Enc(m):

$C \xleftarrow{\$} \mathcal{C}$
 ret C

\cong



→ "one-time" secrecy b/c key chosen every time
 ↳ but we want to be able to reuse keys!

First Attempt

$K \xleftarrow{\$} \mathcal{K}$
<u>Enc(m):</u>
$C = \text{Enc}(K, m)$
ret C

- adv. chooses the M b/c we don't want to make any assumptions a/b it
 ↳ "chosen plaintext attack"

WRONG!

~~Def: CPA Security.~~ Let Σ be a SKE encryption scheme $(\mathcal{K}, \mathcal{C}, \mathcal{M}, \text{Enc}, \text{Dec})$. Σ has CPA security iff

$$\mathcal{L}_{\text{CPA-real}}^{\Sigma} \triangleq \frac{K \xleftarrow{\$} \mathcal{K}}{\text{CPA.Enc}(m)} \frac{C = \text{Enc}(K, m)}{\text{ret } C} \cong \frac{\text{CPA.Enc}(m):}{C \xleftarrow{\$} \mathcal{C}} \text{ret } C \triangleq \mathcal{L}_{\text{CPA-ideal}}^{\Sigma}$$

- what are the problems w/ the above?

① Enc can't be deterministic

↳ say A encrypts the same M twice; $A \circ \mathcal{L}_{\text{CPA-enc}}$ always returns

$$\text{True, } P[A \circ \mathcal{L}_{\text{CPA-enc}} \Rightarrow T] = \frac{1}{|\mathcal{C}|}$$

↳ need $|\mathcal{C}| \geq 2$ for 2 M's, otherwise scheme not correct

↳ thus, Enc must be randomized

② Let $M = \{\text{all files up to 1 TB}\}$, $M_1 = 100 \text{ B}$, $M_2 = 50 \text{ GB}$.

↳ but $C_1, C_2 \stackrel{\$}{\leftarrow} \mathcal{C}$, and $E[C_1] = E[C_2] \Rightarrow E[\text{Enc}(K, M_1)] = E[\text{Enc}(K, M_2)]$

↳ so we have to blow up $|C_1|$?

↳ and if $\mathcal{C} = \{0, 1\}^*$, "can't uniformly sample an integer"

↳ so we leak $|M|$

Def: CPA-Security. Let Σ be a SKE encryption scheme $(\mathcal{K}, \mathcal{C}, M, \text{Enc}, \text{Dec})$. Σ has CPA security iff

$$\mathcal{L}_{\text{CPA-real}}^{\Sigma} \triangleq \frac{\begin{array}{l} K \stackrel{\$}{\leftarrow} \mathcal{K} \\ \text{CPA.Enc}(M) \\ C = \text{Enc}(K, M) \\ \text{ret } C \end{array}}{\approx} \frac{\begin{array}{l} \text{CPA.Enc}(M): \\ C \stackrel{\$}{\leftarrow} \mathcal{C}(|M|) \\ \text{ret } C \end{array}}{\triangleq} \mathcal{L}_{\text{CPA-enc}}^{\Sigma}$$

where $\mathcal{C}(\ell)$ is all the ctexts for length ℓ messages, i.e.,

$$\mathcal{C}(\ell) = \{C : \exists K, M \text{ s.t. } |M| = \ell, P[\text{Enc}(K, M) = C] > 0\}$$

↳ this point doesn't matter for fixed-length M's b/c the length is implicitly leaked

E.g. Proving not CPA

$K \leftarrow \{0, 1\}^\lambda$
 $K' \leftarrow \{0, 1\}^\lambda$
 $\text{Enc}'(K, m):$
 $C = K \oplus m$
 $C' = K' \oplus m$
 $\text{ret } (C, C')$

$A \mid m = 0^\lambda$
 $C_1 \parallel C_1' = \text{CPA.Enc}(m)$
 $C_2 \parallel C_2' = \text{CPA.Enc}(m)$
 $\text{if } C_1 \oplus C_1' = C_2 \oplus C_2':$
 $\text{ret } T$
 $\text{ret } F$

$\Rightarrow P[A \circ L_{\text{CPA-real}} \Rightarrow T] = 1$
 $P[A \circ L_{\text{CPA-rand}} \Rightarrow T] = 2^{-\lambda}$

Def: PRF-OTP. Let F be a PRF w/ λ -bit inputs & n -bit outputs.

$\text{Enc}(K, m):$
 $R \leftarrow \{0, 1\}^\lambda$
 $S = F(K, R) \oplus m$
 $\text{ret } R \parallel S$

$\text{Dec}(K, R \parallel S):$
 $m = F(K, R) \oplus S$
 $\text{ret } m$

- $\mathcal{R} = \{0, 1\}^\lambda$ (prf keys)
- $\mathcal{M} = \{0, 1\}^n$
- $\mathcal{C} = \{0, 1\}^{\lambda+n}$

Thm. If F is a secure PRF, the PRF-OTP has CPA-security.

Pf.

$K \leftarrow \mathcal{R}$
 $\text{CPA.Enc}(X):$
 $R \leftarrow \{0, 1\}^\lambda$
 $Y = F(K, R)$
 $S = Y \oplus m$
 $\text{ret } R \parallel S$

PRF sec.

\approx

$\text{CPA.Enc}(X):$
 $R \leftarrow \{0, 1\}^\lambda$
 $\text{if } L[R] \text{ null:}$
 $L[R] \leftarrow \{0, 1\}^n$
 $Y = L[R]$
 $S = Y \oplus m$
 $\text{ret } R \parallel S$

\approx
w/ & w/o repl. lemma

$\text{CPA.Enc}(X):$
 $R \leftarrow \{0, 1\}^\lambda$ w/o repl.
 $\text{if } L[R] \text{ null:}$
 $L[R] \leftarrow \{0, 1\}^n$
 $Y = L[R]$
 $S = Y \oplus m$
 $\text{ret } R \parallel S$

\approx

$\text{CPA.Enc}(X):$
 $R \leftarrow \{0, 1\}^\lambda$ w/o repl.
 $Y \leftarrow \{0, 1\}^n$
 $S = Y \oplus m$
 $\text{ret } R \parallel S$
 OTP! perfect secrecy

by perfect secrecy
of OTP

\equiv
CPA.Enc(x):
 $R \leftarrow_{\neq} \{0,1\}^n$
w/o repl.
 $S \leftarrow \{0,1\}^n$
ret R||S

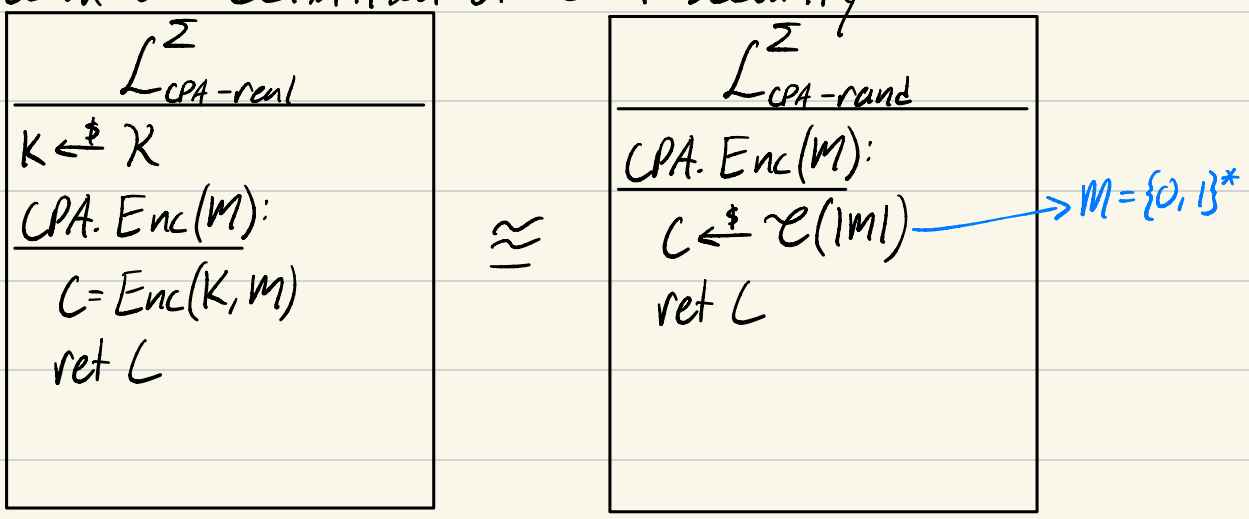
\approx
CPA.Enc(x):
 $R \leftarrow_{\neq} \{0,1\}^n$
 $S \leftarrow \{0,1\}^n$
ret R||S

\equiv $\mathcal{L}_{\text{CPA-rand}}$

\rightarrow w/ & w/o
replacement
lemma

CPA

- recall our definition of CPA-security:



- we want to perform domain extension: taking our scheme from $\{0, 1\}^n$ to $\{0, 1\}^*$

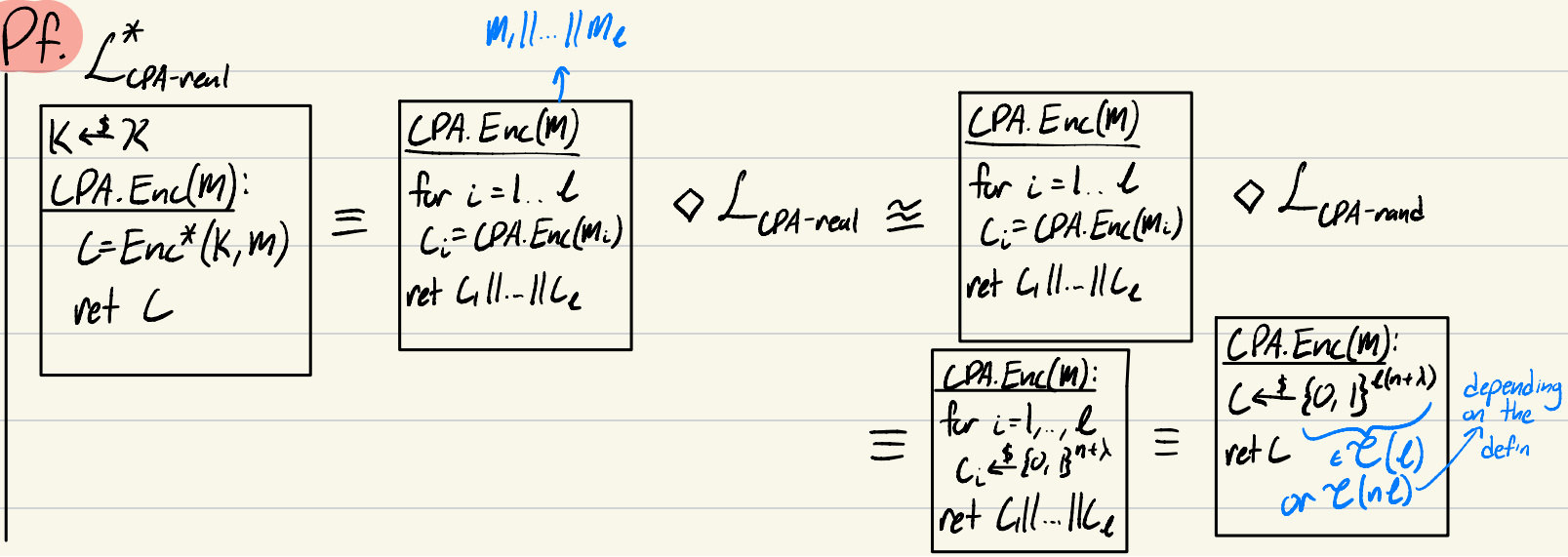
Problem: Given Σ with $\mathcal{M} = \{0, 1\}^n$, construct Σ^* w/ $\mathcal{M}^* = (\{0, 1\}^n)^*$

↳ $\mathcal{M}^* = m_1 || m_2 || \dots || m_\ell : \ell \text{ n-bit blocks}$

- Sol'n: $Enc^*(K, m_1 || \dots || m_\ell) = Enc(K, m_1) || \dots || Enc(K, m_\ell)$

Claim: If Σ CPA-secure, Σ^* is CPA-secure.

Pf.



- Let F be a PRF w/ input & output length n , $M = \{0, 1\}^*$
 ↳ we prev. showed $G(R) \triangleq F(K, R) || F(K, R+1) || \dots || F(K, R+e)$ is secure PRG

CTR Enc(K, M):
 $R \xleftarrow{\$} \{0, 1\}^n$
 PAD = use CTR PRG to get
 $|M|$ pseudorandom bits
 w/ seed R
 ret $R || (PAD \oplus M)$

↳ CTR construction PRG

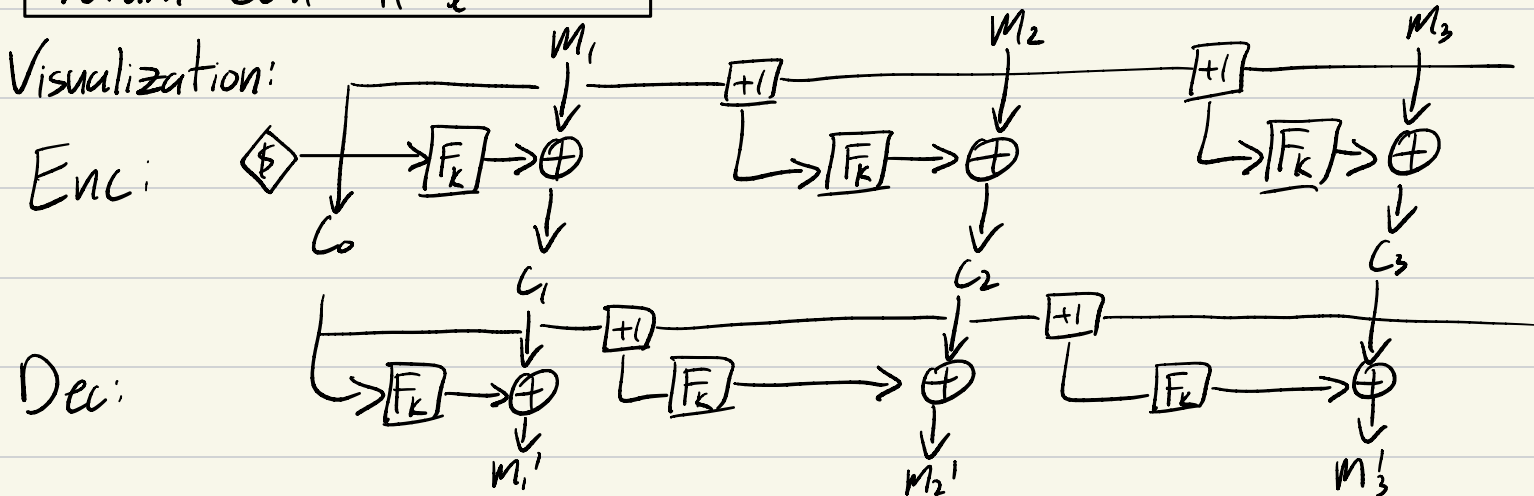
→ won't prove security b/c uninteresting

- we'll use this to motivate **CTR-mode encryption**

↳ Let $M = (M_1 || \dots || M_e)$, w/ M_e possibly $< n$ bits

Enc(K, M):
 $C_0 \xleftarrow{\$} \{0, 1\}^n$
 for $i = 1, \dots, e$:
 $C_i = F(K, C_0 + i - 1) \oplus M_i$
 return $C_0 || \dots || C_e$

→ equivalent to the above Enc, just w/o making reference to the PRG G



Topics we skipped

+ block ciphers

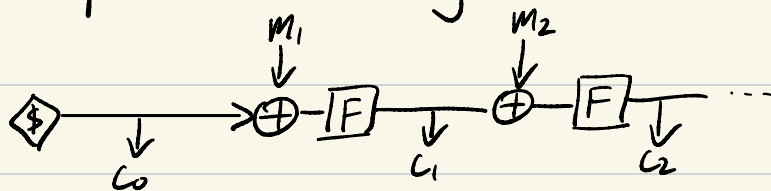
↳ just pseudorandom permutations, i.e., invertible PRF

↳ i.e. $F(K, X) \rightarrow Y, F^{-1}(K, Y) \rightarrow X$; F must be $\{0, 1\}^n \rightarrow \{0, 1\}^n$

- AES is most popular block cipher

- using block cipher for enc. scheme = enc. mode

↳ e.g. cipher block chaining (CBC):



Editing Eve

- $OTP(K, m) = K \oplus m$

- say Eve does $C \oplus (00 \dots 01) = C'$

↳ then the last bit of decrypted C' will be flipped

Def: Malleability. Changing the ctxt leads to a predictable change in the plaintext.

- what if an adversary edited the CTR-mode encryption?

↳ $(c_0 || c_1 || c_2 || c_3) \rightarrow (c_0 || c_1 \oplus \Delta || c_2 || c_3)$

↳ $\Delta \in \{0, 1\}^n$

↳ $Dec(K, c_1 \oplus \Delta_1 || \dots || c_e \oplus \Delta_e) = m_1 \oplus \Delta_1 || \dots || m_e \oplus \Delta_e$

simple & predictable

- malleability opens up attacks (claim 9.1.1)

- say this null oracle exists:

$K \leftarrow \mathcal{K}$
<u>NullOracle(c):</u>
$M = \text{Dec}(K, c)$
ret 0x00 in M

+ the attack:

- take first byte of M , XOR w/ all possible bytes → in the ctxt
- ↳ the byte that makes the null oracle return true is the byte of the msg!
- do for all bytes ($256 \cdot |M|$)

6A - 2/10

- recall

$\mathcal{L}_{\text{CPA-real}}$
$K \leftarrow \mathcal{R}$
CPA.Enc(m):
ret Enc(K, m)

→ sort of strange the attacker can choose m

- also discussed malleability

↳ allowed for attack where adv. sends many $\hat{c}_1, \hat{c}_2, \dots$ and observes Bob's response

↳ if \hat{c}_j 's response does something strange, adv. can learn something abt the message (null oracle attack)

- adv. has more power, so security should be harder

↳ we assume adv. sees some info abt Dec(K, \hat{c})

↳ this is basically worst case: Bob decrypts msg. & reveals it

Def: CCA Security. Σ has CCA security if

$\mathcal{L}_{\text{CCA-real}}$
$K \leftarrow \Sigma.\mathcal{R}$
CCA.Enc(m):
ret Σ .Enc(m)
CCA.Dec(c)
ret Σ .Dec(m)

\cong

$\mathcal{L}_{\text{CCA-rand}}$
$K \leftarrow \mathcal{R}$
CCA.Enc(m):
$C \leftarrow \mathcal{C}(m)$
$D[C] = m$
ret C
CCA.Dec(c):
if $D[C]$ not null:
ret $D[C]$
ret Σ .Dec(K, c)

- Random world's returned ctexts = challenge ctexts
- ↳ idea: keep record of what messages the adv. sent correspond to which challenge ctexts

E.g.

PRF $F: \lambda \times \lambda \rightarrow \lambda$

$\mathcal{R} = b^\lambda, \mathcal{M} = b^\lambda, \mathcal{C} = b^{2\lambda}$

- We will break:

Enc(K, M):

$R \leftarrow \{0, 1\}^\lambda$

$S = F(K, R) \oplus M$

ret R||S

Dec(K, R||S):

ret $F(K, R) \oplus S$

First, note $\text{Dec}(K, (R||S) \oplus \Delta) = \text{Dec}(K, R||S) \oplus \Delta$

A

$M = 0^\lambda, \Delta = 1^\lambda$

$R||S = \text{CCA.Enc}(M)$

$M' = \text{CCA.Dec}(R||S \oplus \Delta)$

ret $M' = M \oplus \Delta$

the game w/ CCA is to query Dec w/ a ctext that wasn't the output of Enc

$$P[A \circ L_{\text{CCA-real}} \Rightarrow T] = 1$$

$$\begin{aligned}
 P[A \diamond L_{\text{CCA-rand}} \Rightarrow T] &= P[F(K, R) \oplus S = M \oplus \Delta] \\
 &= P[S = M \oplus \Delta \oplus F(K, R)] = 2^{-\lambda} \\
 &\quad \downarrow \\
 &\quad S \text{ unif rand, } \perp \text{ of } \\
 &\quad M, \Delta, F(K, R)
 \end{aligned}$$

Alt: $P[A \diamond L_{\text{CCA-rand}} \Rightarrow T] = P[M = F(K, R) \oplus \Delta \oplus S]$

\downarrow if we chose $M \xleftarrow{\$} b^\lambda$,

read in book \leftarrow this pr. is again $2^{-\lambda}$

E.g.

Say F is now invertible.

Enc(K, M):

$$R \xleftarrow{\$} \{0, 1\}^\lambda$$

$$S = F(K, R) \oplus F(K, M)$$

ret $R || S$

Dec($K, R || S$):

$$\text{ret } F^{-1}(K, F(K, R) \oplus S)$$

Let's look at two encryptions of M :

$$R_1 || \underline{F(K, R_1) \oplus F(K, M)}$$

$$R_2 || \underline{F(K, R_2) \oplus F(K, M)}$$

XOR these to get $F(K, R_1) \oplus F(K, R_2)$

\downarrow
add R_1

We get $R_1 || F(K, R_1) \oplus F(K, R_2)$, the ctxt from Enc(R_2)!

$\hookrightarrow \Rightarrow$ Dec(K, \dots) should equal R_2

Formalize

\mathcal{A} :

$$M = 0^\lambda$$

$$R_1 \| S_1 = \text{CCA.Enc}(M)$$

$$R_2 \| S_2 = \text{CCA.Enc}(M)$$

$$M' = \text{CCA.Dec}(R_1 \| S_1 \oplus S_2)$$

$$\text{ret } M' = R_2$$

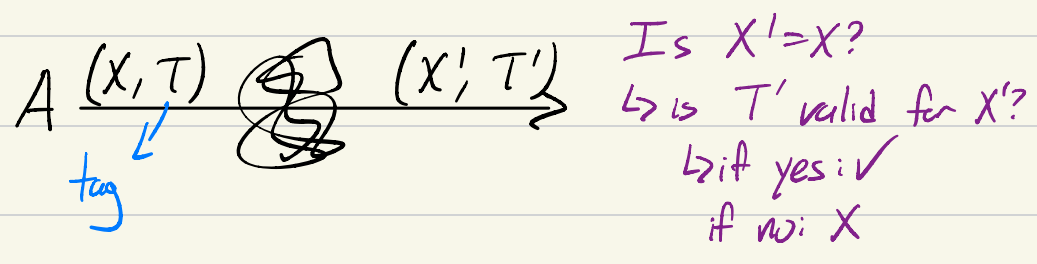
6B-2/12

- recall the ctxts from Enc in CCA are "challenge ctxts"

Building CCA

- idea: if the adv. alr knows all the answers it can get from Dec, then Dec is useless to them & we basically have a CPA setting
- ↳ goal: if adv. queries w/ non challenge ctxt, give error
- ↳ authenticity

Def: MAC. message authentication code



- w/ a PRF: Alice sends $(X, F(K, X))$, Bob gets (X', T')
- ↳ check: $T' = F(K, X')$?

Lemma. Suppose F is a secure PRF. Then:

$\mathcal{L}_{\text{mac-real}}$	\approx	$\mathcal{L}_{\text{mac-ideal}}$
$K \leftarrow \{0, 1\}^k$ <u>MAC.Reveal(X):</u> ret $F(K, X)$ <u>MAC.Guess(X, Y):</u> ret $Y = F(K, X)$		<u>MAC.Reveal(X):</u> if $L[X]$ null: $L[X] \leftarrow \{0, 1\}^k$ ret $L[X]$ <u>MAC.Guess(X, Y):</u> if $L[X]$ null: ret False ret $Y = L[X]$

- In the ideal side, it is impossible to guess some X, Y before revealing X

↳ i.e., $P[\mathcal{A} \diamond L_{\text{mac-real}}^{(*)} \text{ guess}(X, Y) = T \text{ before reveal}(X)]$ is negl.

↳ true by the above lemma, else we create B : which must be non-negl.

run $\mathcal{A} \diamond L$
if $(*)$ ret T
else ret F

Encrypt-then-MAC

Let Σ be SKE scheme, F secure PRF w/ output length λ and input space containing $\Sigma.C$

Enc($(K_e, K_m), M$):

$C = \Sigma. \text{Enc}(K_e, M)$
 $T = F(K_m, C)$
ret $C || T$

Dec($(K_e, K_m), C || T$):

if $T \neq F(K_m, C)$:
return \perp
ret $\Sigma. \text{Dec}(K_e, C)$

Spaces:

$$\mathcal{R} = \Sigma.C \times \{0, 1\}^\lambda, \quad \mathcal{M} = \Sigma.M, \quad \mathcal{C}(e) = \Sigma.C(e) \times \{0, 1\}^\lambda$$

- why can't we just construct a C' , pass it to MAC. $\text{Reveal}(C')$, then use that tag in an attack?

↳ b/c the CCA library has no MAC interface

Pf plan: ① remove K_e from CCA.Dec(CllT)
 ② use CPA security

Thm. Σ CPA, F PRF, then enc-then-mac is CCA secure

Pf.

$\mathcal{L}_{\text{CCA-real}}$

$K_e \leftarrow \mathcal{R}$
 $K_m \leftarrow \{0, 1\}^t$

CCA.Enc(m):
 $C = \text{Enc}(K_e, m)$
 $T = F(K_m, C)$
 ret CllT

CCA.Dec(CllT):
 if $T \neq F(K_m, C)$:
 ret \perp
 ret Dec(K_e, C)

\equiv

$\mathcal{L}_{\text{CCA-real}}$

$K_e \leftarrow \mathcal{R}$
 $K_m \leftarrow \{0, 1\}^t$

CCA.Enc(m):
 $C = \text{Enc}(K_e, m)$
 $T = F(K_m, C)$
 $D[\text{CllT}] = m$
 ret CllT

CCA.Dec(CllT):
 if $D[\text{CllT}]$ not null:
 ret $D[\text{CllT}]$
 if $T \neq F(K_m, C)$:
 ret \perp
 ret Dec(K_e, C)

\equiv
 \equiv
 \approx

$\mathcal{L}_{\text{CCA-real}}$

$K_e \leftarrow \mathcal{R}$

CCA.Enc(m):
 $C = \text{Enc}(K_e, m)$
 $T = \text{MAC.Reveal}(C)$
 $D[\text{CllT}] = m$
 ret CllT

CCA.Dec(CllT):
 if $D[\text{CllT}]$ not null:
 ret $D[\text{CllT}]$
 if not MAC.Guess(C, T)
 ret \perp
~~ret Dec(K_e, C)~~

$\diamond \mathcal{L}_{\text{mac-real}}$
 $\diamond \mathcal{L}_{\text{mac-ideal}}$

Now notice that if $D[\text{CllT}]$ is null, MAC.Reveal was never called, so MAC.Guess is False by construction. So we cross out the bottom line.

7A-2/17

- we've been in symmetric/secret key setting
- now we'll deal w/ key dist.

Key Exchange

- Alice & Bob have no shared key
- ↳ adv. Eve listening to their communication

- basically we need cyclic groups

E.g.

arithmetic mod 13

$$2 \xrightarrow{x^2} 4 \xrightarrow{x^2} 8, 3, 6, 12, 11, 9, 5, 10, 7, 1, 2$$

↳ all #s mod 13 are here!

↳ $\{1, \dots, 12\}$ = group

↳ group operation = mult. mod 13

Def. Group is a set G w/ an operation \cdot (multiplicative notation) satisfying:

- closure: $\forall a, b \in G, a \cdot b \in G$

*mult. not necessarily commutative; $a \cdot b \neq b \cdot a$

- identity: \exists identity element $1 \in G$ s.t. $1 \cdot a = a = a \cdot 1$

- associativity: $(a \cdot b) \cdot c = a \cdot (b \cdot c)$

- inverses: $\forall a \in G, \exists a^{-1} \in G$ s.t. $a \cdot a^{-1} = 1$

- e.g. $5 \cdot 8 = 40 \cong 1 \pmod{13}$

E.g.

Is mult. mod 14 a group? $\{0, \dots, 13\}$

↳ no. $7 \cdot 2 \cong 0 \pmod{14}$

↳ also 7 has no inverse: $7x \cong_4 0, 7 \pmod{14}$

Def. $\mathbb{Z}_p^* = \{1, \dots, p-1\}$ is a group w/ mult. mod p \forall primes p .

↳ usually \mathbb{Z}_n denotes additive group (we don't do)

↳ no 0 b/c 0 has no inverse

↳ generalized: $\mathbb{Z}_n^* = \{x: \gcd(x, n) = 1\} \subseteq [1, n-1]$

↳ size $|G| =$ order of group (e.g. $|\mathbb{Z}_p^*| \approx 2^1$ for $p \approx 2^1$)

Cyclic Group

Def. G is cyclic if $\exists g \in G$ s.t. $\forall a \in G, a = g^c$. We say the element g generates the group.

↳ e.g. 2 generates \mathbb{Z}_{13}^* .

- e.g. 11 generates \mathbb{Z}_{13}^*

↳ $11 \cong_{13} -2 : -2, 4, -8, 3, -6, 12, -11, 9, -5, 10, -7, 1$

↳ e.g. 9 does not generate \mathbb{Z}_{15}^* : $9^k = (3^k)^2$; not all elements of \mathbb{Z}_{15}^* are perfect squares

Prop. If g is a generator for G , then $\{g^0, g^1, \dots, g^{m-1}\} = G$, where $m = |G|$.

$$\begin{aligned} &\hookrightarrow g^{m-1} \cdot g = 1; \quad g^{m-1} = g^{-1} \\ \Rightarrow g^a &= g^{a \bmod |G|} = g^{a \bmod m} \end{aligned}$$

Prop. Given a generator g & element A , $\exists! a \in \{0, \dots, m-1\}$ s.t. $g^a = A$.

Facts:

① \forall prime p , \mathbb{Z}_p^* cyclic group

② Finding generators of cyclic groups is efficient

↳ can check if $x^k = 1$ for $k < m-1 \Rightarrow x$ not generator in $\sim \log(m)$

time $(\log 2^x = \text{poly}(x))$

↳ Lagrange's Thm: order of $g =$ smallest k s.t.

$g^k = 1$. Then, $\forall g \in G$, $\text{ord}(g)$ divides $|G|$

↳ only need to check that $g^q \neq 1 \forall$ prime divisors q to show g generator

Discrete Log Problem

Given (g, A) , find a s.t. $g^a = A$

↳ i.e., $\log_g A = \log_g(g^a) = a$

- This problem is thought to be Hard (for certain groups) $\rightarrow \mathbb{Z}_p^*$ hard

$\hookrightarrow \text{best} \approx \sqrt{|G|} \approx 2^{\lambda/2}$ time

- luckily, exponentiating is fast

\hookrightarrow given $g, a \in [0, m-1]$, find g^a

$\hookrightarrow g^a = \underbrace{g \cdot g \cdots g}_{a \text{ times}} = 2^\lambda$ bad!

Repeated Squaring: write a in binary: $a = \sum_{i=1}^{\approx \lambda} b_i 2^i \Rightarrow g^a = g^{\sum_{i=1}^{\approx \lambda} b_i 2^i}$
 $= \prod_{i=1}^{\approx \lambda} g^{b_i 2^i} = \prod_{\substack{i \text{ s.t.} \\ b_i = 1}} g^{2^i}$

\hookrightarrow only λ terms in this product, & each g^{2^i} takes only λ squarings

\Rightarrow poly(λ) to exponentiate

- DLP is a conjectured **one-way function**:

- computing f is easy (poly(λ))

- computing f^{-1} is hard (exp(λ))

- OWFs are a core crypto primitive

Diffie-Hellman Key Exchange

- Alice & Bob sent msgs back & forth

\hookrightarrow at the end, they return K_A & K_B , respectively

\hookrightarrow want $K_A = K_B$, want security

Protocol. G cyclic group w/ generator $g \in G$, order m .

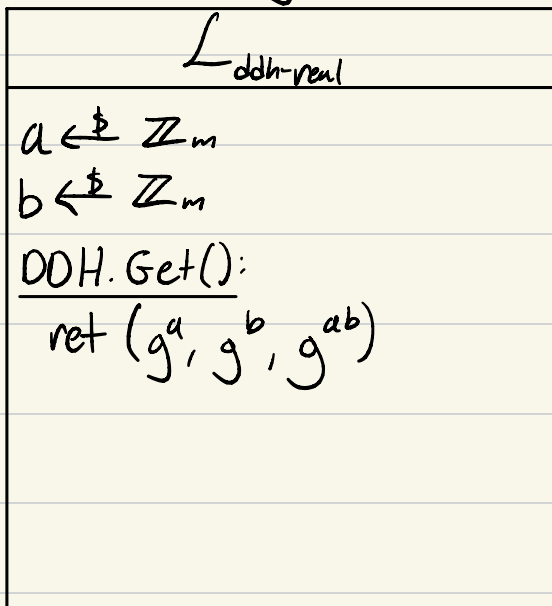
① Alice & Bob sample $a \xleftarrow{\$} \mathbb{Z}_m$, $b \xleftarrow{\$} \mathbb{Z}_m$.

② Alice & Bob take $A = g^a$, $B = g^b$ resp., send to each other.

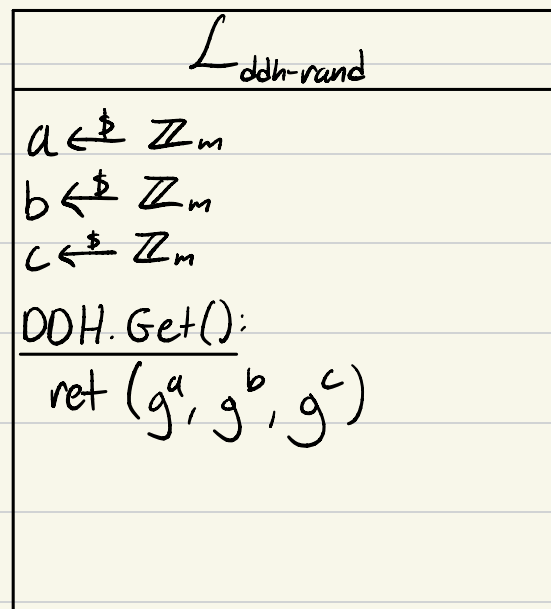
③ A: ret $B^a = (g^b)^a = g^{ab} \Rightarrow K_A = K_b$

B: ret $A^b = (g^a)^b = g^{ab}$

Claim. If Alice & Bob execute honestly, given $A = g^a$ and $B = g^b$, Eve cannot distinguish $K = g^{ab}$ from $K \xleftarrow{\$} G$.



\cong



(DDH = decisional Diffie-Hellman assumption)

7B - 2/14

- $m = |G| = \text{order of group}$

- primes = p, q

- generator $g \in G$ is s.t. $\{g^0, g^1, \dots, g^{m-1}\} = G$

$$\hookrightarrow g^0 = 1$$

$$\hookrightarrow g^{m-1} \cdot g = g^m = g^0 = 1 \Rightarrow g^{m-1} = g^{-1}$$

$$\hookrightarrow g^a = g^{(a \bmod m)}$$

Uniform Sampling: $a \xleftarrow{\$} [1, m]$, take $g^a \equiv X \xleftarrow{\$} G$

Diffie-Hellman

Want:

- ① A & B get same key
- ② Eve can't distinguish key from unif. rand

DH Key Exchange

Fix cyclic group G , gen. g , ord. m

Alice

$$a \xleftarrow{\$} [1, m]$$

$$A = g^a$$

$$K = B^a = g^{ab}$$

ret K

Bob

$$b \xleftarrow{\$} [1, m]$$

$$B = g^b$$

$$K = A^b = g^{ab}$$

ret K

- prev. our key was a bitstring... how do we use this?

+ OTP over cyclic group G

- $G = \mathcal{R}, \mathcal{M}, \mathcal{C}$

Enc(K, m): $K \cdot m$

Dec(K, m): $K^{-1} \cdot c$

Claim. $\forall m = g^a, k \leftarrow \mathcal{R}, P[k \cdot g^a = g^c] = \frac{1}{m} = \frac{1}{|G|}$

Pf.

LHS = $P[k = g^c \cdot g^{-a}] = P[k = g^{c-a}] = \frac{1}{|G|}$ (this implies perf OTS)

- security of DHKE \Leftrightarrow DDH assumption for G

DDH Assumption. $\mathcal{L}_{\text{DDH-real}} \cong \mathcal{L}_{\text{DDH-rand}}$

$\mathcal{L}_{\text{ddh-real}}$
$a \leftarrow \mathbb{Z}_m$
$b \leftarrow \mathbb{Z}_m$
<u>DDH.Get()</u> :
ret (g^a, g^b, g^{ab})

\cong

$\mathcal{L}_{\text{ddh-rand}}$
$a \leftarrow \mathbb{Z}_m$
$b \leftarrow \mathbb{Z}_m$
$c \leftarrow \mathbb{Z}_m$
<u>DDH.Get()</u> :
ret (g^a, g^b, g^c)

★ Adversary knows G, g, m ★

↳ b/c these crypto schemes are public!

blc $2|G|$, i.e., $2|(p-1)$;
small prime divides $|G|$

- Is DDH assumption true?

↳ \mathbb{Z}_p^* (p some λ -bit prime): given $X=g^a$, can tell if a even or odd,
and can thus dist. from rand (K not equally likely even/odd)

- further, discrete log hard ~~⇒~~ DDH assump. true

↳ e.g. \mathbb{Z}_p^*

Claim: DDH assump. true \Rightarrow DLOG hard

Pf.

Contrapositive: assume \exists poly-time DLOG solver B .

A

$(x, y, z) = \text{DDH. Get}()$

$a, b, c = B(x), B(y), B(z)$

ret $a \cdot b \stackrel{?}{=} c$

$$P[A \diamond L_{\text{DDH-rand}} \Rightarrow T] = 1$$

$$P[A \diamond L_{\text{DDH-rand}} \Rightarrow T] = \frac{1}{m}$$

$$\Rightarrow \text{DA} = 1 - \frac{1}{m}, \text{ non-negl.}$$

Candidate DDH Groups

+ (some) cyclic groups of prime order

↳ suppose p, q primes s.t. $p = 2q + 1$ (∞ -many such pairs)

↳ $\text{QR}_p^* = \{x^2 : x \in \mathbb{Z}_p^*\}$

↳ quadratic residues mod p

E.g.

$$\mathbb{Z}_{11}^* \rightarrow QR_{11}^* = \{4, 5, 9, 3, 1\}$$

↳ assoc. ✓

$$\text{↳ identity: } 1 = g^{p-1} = \left(g^{\frac{p-1}{2}}\right)^2 \checkmark$$

$$\text{↳ closed: } g^{2k} \cdot g^{2x} = g^{2(k+x)} \checkmark$$

$$\text{↳ inverse: } g^{-2k} = g^{(p-1)-2k} \Rightarrow \checkmark$$

↳ even-even \Rightarrow even

Order of QR_p^* is $\frac{p-1}{2}$

$$QR_{11}, g=4$$

$$A: a=2 \quad B: b=3$$

$$\text{↳ } A = 4^2 = 16 = 5 \quad 11 \cdot 5 = 55$$

$$B = 4^3 = 64 \cong_{11} 9$$

$$K = g^{ab} = 4^{3 \cdot 2} = 4^6 \cong_{11} 4$$

Protocols

Def. A 2-party protocol defines a pair of algos P_1, P_2 that can send msg.s to each other, each producing an output at the end w/ all msg.s making up the transcript

$$\text{↳ } (T, Y_1, Y_2) \triangleq (P_1 \leftrightarrow P_2)$$

$$\text{↳ e.g. in DHKE, } Y_1 = B^a, Y_2 = A^b, T = (A, B)$$

Def: Key-exchange protocol.

- ① Correctness: $Y_1 = Y_2$, always
- ② $(T, K_1) \cong (T, K')$, $K' \leftarrow \mathcal{K}$

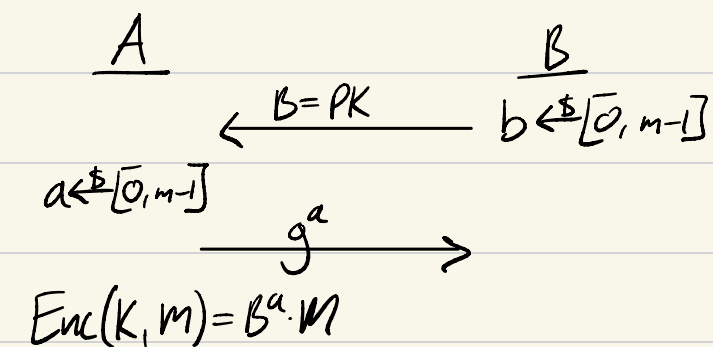
Public-Key Encryption

- Bob publishes public key PK , has secret key SK

Syntax: public-key encryption scheme has 3 algos:

- ① KeyGen: returns (PK, SK)
- ② $Enc(PK, m) \rightarrow C$
- ③ $Dec(SK, C) \rightarrow m$

+ DHKE as PKE:



"El-Gamal" enc. scheme

- i.e., $SK = b$, $PK = g^b$

$Enc(PK, m) = a \leftarrow_{\$} [0, m-1]$
ret $(g^a, (PK)^a \cdot m)$

8A-2/24

PKE

- we will not discuss how we trust online public keys
- ↳ "public key infrastructure"

Def: PKE Syntax. PKE Σ has 3 algos:

- $\text{KeyGen}() \rightarrow (PK, SK)$ (bk PK & SK must be correlated)
- $\text{Enc}(PK, m) \rightarrow C$ (randomized)
- $\text{Dec}(SK, C) \rightarrow m$ (deterministic)

Correctness: $\forall m, (PK, SK) \sim \text{KeyGen}(), \Pr[\text{Dec}(SK, \text{Enc}(PK, m)) = m] = 1$

Def: PKE CPA-security.

$\mathcal{L}_{pk-cpa-real}$
$(PK, SK) = \text{KeyGen}()$
<u>CPA.PK()</u> : return PK
<u>CPA.Enc(m)</u> : $C = \Sigma.\text{Enc}(PK, m)$ ret C

\approx

$\mathcal{L}_{pk-cpa-real}$
$(PK, SK) = \text{KeyGen}()$
<u>CPA.PK()</u> : return PK
<u>CPA.Enc(m)</u> : $C \leftarrow \Sigma.\mathcal{E}(m)$ ret C

- recall when defining CPA sec: if you ask a box a Q, and you alr. know the answer, then the box can't help you
- ↳ in PKE, the adv. can see however many ctxts it wants!

↳ PKE: OTS \Rightarrow many-time security

Def: PKE 1-CPA-Security

$\mathcal{L}_{pk-1-cpa-real}$		$\mathcal{L}_{pk-1-cpa-rand}$
$(PK, SK) = \text{KeyGen}()$ $\text{CPA.PK}():$ return PK $\text{CPA.Enc}(m):$ if C^* null: $C^* = \Sigma.\text{Enc}(PK, m)$ ret C^*	\cong	$(PK, SK) = \text{KeyGen}()$ $\text{CPA.PK}():$ return PK $\text{CPA.Enc}(m):$ if C^* null: $C^* \leftarrow \Sigma.\mathcal{C}(m)$ ret C^*

Claim. $\mathcal{L}_{pk-1-cpa-real}^{\Sigma} \cong \mathcal{L}_{pk-1-cpa-rand}^{\Sigma} \Rightarrow \mathcal{L}_{pk-cpa-real}^{\Sigma} \cong \mathcal{L}_{pk-cpa-rand}^{\Sigma}$

Pf. $(\mathcal{L}_{pk-1-cpa-real} \equiv \mathcal{L}_0 \cong \dots \cong \mathcal{L}_h \cong \mathcal{L}_{h+1} \cong \dots \cong \mathcal{L}_q \equiv \mathcal{L}_{pk-cpa-rand})$

\mathcal{L}_h		\mathcal{L}_h		\mathcal{L}_h
$(PK, SK) = \text{KeyGen}()$ $\text{CPA.PK}():$ ret PK $\text{CPA.Enc}(m):$ count += 1 if count < h: $C \leftarrow \Sigma.\mathcal{C}(m)$ else: $C = \Sigma.\text{Enc}(PK, m)$ ret C	\equiv	$(PK, SK) = \text{KeyGen}()$ $\text{CPA.PK}():$ ret PK $\text{CPA.Enc}(m):$ count += 1 if count < h: $C \leftarrow \Sigma.\mathcal{C}(m)$ if count = h: $C = \Sigma.\text{Enc}(PK, m)$ else: $C = \Sigma.\text{Enc}(PK, m)$ ret C	\cong	$PK = \text{1-CPA.PK}()$ $\text{CPA.PK}():$ ret PK $\text{CPA.Enc}(m):$ count += 1 if count < h: $C \leftarrow \Sigma.\mathcal{C}(m)$ if count = h: $C = \text{CPA.Enc}(m)$ else: $C = \Sigma.\text{Enc}(PK, m)$ ret C

$\diamond \mathcal{L}_{pk-1-cpa-real}$
 $\diamond \mathcal{L}_{pk-1-cpa-rand}$
 by the 1-CPA-sec of Σ

then just pull external library back in, and note $q = \text{poly}(\lambda) \Rightarrow$ valid hybrid argument.

Also note: $\mathcal{L}_{pk-cpa-1-real} \equiv \mathcal{L}_0$

$\mathcal{L}_q \equiv \mathcal{L}_{pk-cpa-rand}$

El Gamal

$\mathcal{M} = G, \mathcal{C} = G \times G, PK \in G, SK \in \mathbb{Z}_{|G|}$

Key Gen():

$SK \xleftarrow{\$} \mathbb{Z}_m$
 $PK = g^{SK}$
ret (PK, SK)

Enc (PK, M) :

$r \xleftarrow{\$} \mathbb{Z}_m$
 $C_1 = g^r$
 $C_2 = M \cdot PK^r$
ret (C_1, C_2)

Dec $(SK, (C_1, C_2))$:

$M = C_2 \cdot C_1^{-SK}$
ret M

Claim. DDH assumption for $G \Rightarrow$ El Gamal CPA-security.

Pf.

$L_{pk-1-cpa-rew}$

$SK \xleftarrow{\$} \mathbb{Z}_m$
 $PK = g^a$
1-CPA.Enc (M) :
if C_1^* null:
 $r \xleftarrow{\$} \mathbb{Z}_m$
 $C_1^* = g^r$
 $C_2^* = M \cdot PK^r$
ret (C_1^*, C_2^*)

\equiv

$L_{pk-1-cpa-rew}$

$SK \xleftarrow{\$} \mathbb{Z}_m$
 $PK = g^a$
 $r \xleftarrow{\$} \mathbb{Z}_m$
 $C_1^* = g^r$
 $U = PK^r = (g^a)^r$
1-CPA.Enc (M) :
if (C_1^*, C_2^*) null:
 $C_2^* = M \cdot U$
ret (C_1^*, C_2^*)

Handwritten notes:
 g^a, g^r, g^{ar} from DDH!
 \hookrightarrow 3-hop them out

8B - 2/26

- in CLA, integrity was important

↳ MACs

↳ in PKE called digital signature

Watermarking for LLMs

- goal: distinguish LLM-generated from human text

↳ new idea in past 3 years!

Notations

- next-token predictor M , vocabulary \mathcal{T}

- input prompt $P = P_1 P_2 \dots P_k \in \mathcal{T}^*$

↳ output of M is dist. over next $t \in \mathcal{T}$

- we can define a model:

$\bar{M}(P)$:

$T = \text{empty string}$

while True:

$D = M(P || T)$

$t \leftarrow \text{sample from } D$

$T = T || t$

if $t = \perp$:

ret T

Watermarking

- 2 algos:

① Mark: generates watermarked text, using M

② Detect: given input, returns True (AIG) or False (not AIG)

↳ both use secret key K

Formally:

- $\text{Mark}(K, P) = T \in \mathcal{T}^*$

- $\text{Detect}(K, T) = B \in \{\text{True}, \text{False}\}$

Goals

- marked model should be a good model (lol) → quality

- hard to get rid of watermark; hard to create text that's "close to marked" → robustness

- $\text{Detect}(K, \text{Mark}(K, P)) = \text{True}$ → correctness

$\text{Detect}(K, \text{non-Mark}) = \text{False}$ → low FPR (soundness)

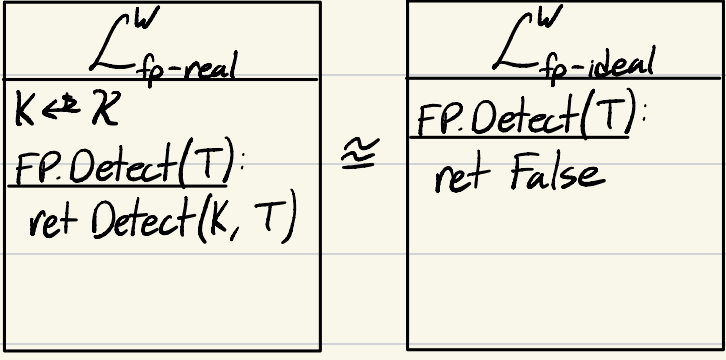
"Give me a name for a rabbit. Sacramento."

Def: Correctness. $\Pr[\text{Detect}(K, \text{Mark}(K, P)), H_m(P, T) \text{ high}] = \text{negl.}$

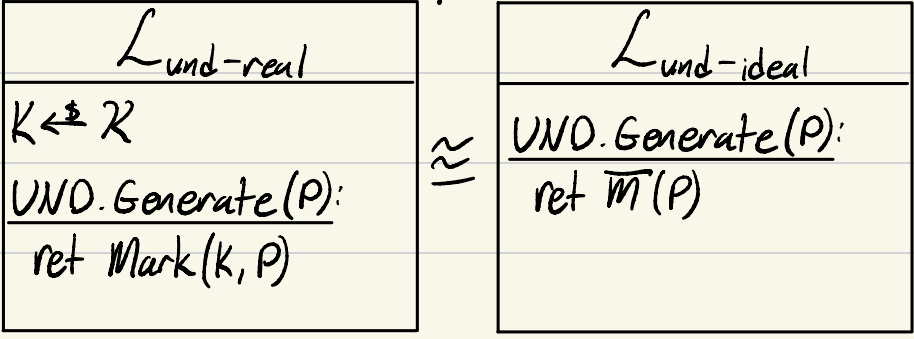
↳ $H_m(P, T) = -\log(\Pr[T|P])$ (entropy)

↳ for robustness, add a line that " $T' \approx \text{Mark}(K, P)$ " & detect on T'

Def: Low False Positives



Def: Undetectability



The Attack

- given a prompt P , ask the marked model many queries P_1, P_2, \dots
- get responses T_1, T_2, \dots , and return some T
- ↳ this is equivalent to $P \rightarrow \overline{m} \rightarrow T!$

Thm. Suppose W is an undetectable w/m scheme. Then, for any prompt P , \exists adv. \mathcal{A} s.t. $\forall z \in \mathcal{T}^*$,

$$|\Pr[\mathcal{A} \circ \mathcal{L}_{und-real} \Rightarrow z] - \Pr[\overline{m}(P) = z]| = \text{negl.}$$

\mathcal{A}

$T = \text{empty string}$

while True:

$T' = \text{Und. Generate}(P \parallel T)$

$t = T'[0]$

$T = T \parallel t$

if $t = \perp$:

ret T

+ proof outline: $L_{\text{und-real}}$ & $L_{\text{und-ideal}}$'s dist over the next token must be indistinguishable, making \mathcal{A} equiv. to $\overline{\mathcal{M}}$
↳ if they weren't indistinguishable, \mathcal{W} wouldn't be undetectable

QA-3/3

Back to Watermarking

- M is a NTP, fixed prompt $P \in \{0, 1\}^*$

↳ t_i denotes the i th bit of T

- recall our generate function:

\overline{M} . Generate(P):

```
T = ε // empty
while ⊥ ≠ T:
  P = M(P || T)
  t ~ Bern(p)
  T = T || t
ret T
```

how
do we
sample?

Sampling $t \sim \text{Bern}(p)$

```
r ←  $\mathbb{U}[0, 1]$ 
if r ≤ p:
  t = 1
else:
  t = 0
```

- we'll interp. $F(K, x) \rightarrow \{0, 1\}^m$ as an int $\in \{0, 2^m - 1\}$

↳ then $\frac{F(K, x)}{2^m - 1} \in [0, 1]$

- note that if we keep our values from $\text{Sample} = R = r_1 r_2 \dots r_n$, these correlate w/ $T = t_1 t_2 \dots t_n$

Claim. \exists a fn. Corr s.t. for any P , w/ $R \in [0, 1]^n$ the randomness used to sample T , if $H_m(P, T)$ large enough,
 $\Pr[\text{Corr}(R, T) = \text{false}] \leq \text{negl}(\lambda)$

Moreover, $\forall \gamma \in [0, 1]^m$ ind. of T , $\Pr[\text{Corr}(R, T) = \text{true}] \leq \text{negl}(\lambda)$.

Constructing W

+ Attempt # 1 (doesn't work!)

Mark(K, P):

```
T = ε
i = 1
while ⊥ ∉ T:
  pi = M(P||T)
  ri = F(K, i) → problem: this is deterministic
  if ri ≤ pi:
    ti = 1
  else:
    ti = 0
  T = T||ti
  i += 1
ret T
```

↳ "not random enough" for PRF security

+ The fix:

Mark(K, P):

```
T = ε, i = 1, H = 0
while ⊥ ∉ T:
  pi = M(P||T)
  if H < λ:
    ri ←D [0, 1]
    if ri ≤ pi: ti = 1
    else ti = 0
    H = H - log pi(ti)
  elif H ≥ λ:
    T = t1t2...ti
    ri = F(K, T||i)
    if ri ≤ pi: ti = 1
    else: ti = 0
    T = T||ti
    i = i + 1
```

Detect(k, T):

```
for i ∈ {1, 2, ..., |T|}
  τ = t1 ... ti
  T' = ti+1 ... t|T|
  R = ε
  for j ∈ {1, ..., |T'|}:
    rj = F(k, τ || j)
    if Corr(R, T'): ret True
ret False
```

Proofs

Claim. W is undetectable.

Pf.

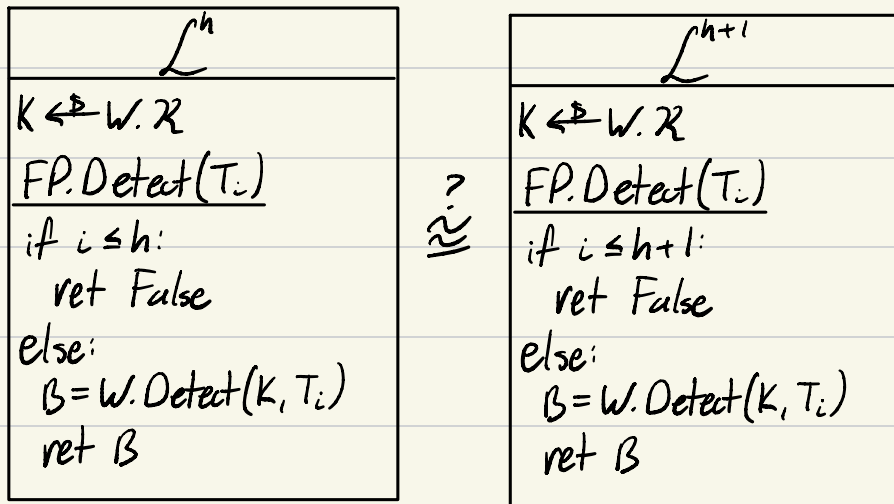
$L_{\text{und-real}}$; if $H \geq \lambda$ $\tau = t_1 \dots t_i$	\equiv	if $H \geq \lambda$: $\tau = t_1 \dots t_i$ if $L[\tau]$ null: $L[\tau] = \tau$ else: BAD = True ret \perp
---	----------	---

But $H \geq \lambda \Leftrightarrow \Pr[\text{we've seen } \tau] \leq 2^{-\lambda}$. And w/ negl. probability of colliding inputs, we can replace $F(k, \cdot)$ w/ $\leftarrow_{\mathcal{F}} [0, 1]$ by F's security. Then the proof is done.

Thm. W has low FPs.

Pf.

A submits T_1, \dots, T_q , $q = \text{poly}(\lambda)$. Then



L^n and L^{n+1} could only differ on the $(n+1)$ th query, T_{n+1} .

The proof follows from the fact that b/c T_{n+1} is ind. of $F(K, \cdot)$, and b/c τ_{ij} is always unique, $r_i = F(K, \tau_{ij})$ can be replaced with $r_i \leftarrow [0, 1]$. Then $\text{Corr}(Y, T')$ must be negl., so L^n will ret. true negligibly. $\Rightarrow L^n \approx L^{n+1}$

And trivially $\text{real} \equiv L^0$, $L^q \equiv \text{ideal}$.

Union bound then proves $\text{real} \approx \text{ideal}$.

Thm. W is correct.

Pf.

Fix a $K \in W.R$, $P \in \{0, 1\}^*$, $T = W.Mark(K, P)$. Suppose $H_m(P, T)$ is large enough.

Then, by the Claim, Detect will output true whp.

Robustness of Watermarks

- correctness doesn't tell us anything abt if we edit the wm
 - ↳ this scheme is robust to cutting off the end of the T' , but not the τ